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PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

NEW UTILITY PATENT APPLICATION TRANSMITTAL <i>(only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket Number	20852-05639
	First Named Inventor	Laurence J. Newell
	Title	Synchronizing nodes in an optical communications system utilizing frequency division multiplexing
	Express Mail Label No.	EL482717388US

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)	7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	8. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
3. <input checked="" type="checkbox"/> Specification Total Pages <input type="text" value="36"/> (preferred arrangement set forth below)	9. <input type="checkbox"/> Power of Attorney or Authorization of Agent
■ Descriptive Title of the Invention	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement
■ Cross Reference(s) to Related Case(s)	11. <input type="checkbox"/> Preliminary Amendment
■ Statement Regarding Fed sponsored R & D	12. <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Citation(s)
■ Background of the Invention	13. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
■ Brief Summary of the Invention	14. <input checked="" type="checkbox"/> Return Postcard
■ Brief Description of the Drawing(s)	15. <input type="checkbox"/>
■ Detailed Description	16. <input type="checkbox"/>
■ Claim or Claims	17. <input type="checkbox"/>
■ Abstract of the Disclosure	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets <input type="text" value="17"/>	
5. Oath or Declaration	
a. <input type="checkbox"/> New Declaration Total Pages <input type="text"/> <input type="checkbox"/> Executed (original or copy)	
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)	
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	

ADDRESS TO:

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: ____/____

Prior application information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number and Bar Code Label



00758

Name (Print/Type)	Michael W. Farn	Registration No. (Attorney/Agent)	41,015
Signature		Date	May 11, 2001

0002/PTO(modified) Rev. 10/2000 <div style="text-align: center;">FEE TRANSMITTAL</div> <div style="text-align: center;">TOTAL AMOUNT OF PAYMENT</div> Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$)<u>355</u>	<div style="text-align: center;">Complete if Known</div> <table border="1" style="width:100%; border-collapse: collapse;"> <tr><td>Application Number</td><td>Unassigned</td></tr> <tr><td>Filing Date</td><td>May 11, 2001</td></tr> <tr><td>First Named Inventor</td><td>Laurence J. Newell</td></tr> <tr><td>Group Art Unit</td><td>Unassigned</td></tr> <tr><td>Examiner Name</td><td>Unassigned</td></tr> <tr><td>Attorney Docket Number</td><td>20852-05639</td></tr> </table>	Application Number	Unassigned	Filing Date	May 11, 2001	First Named Inventor	Laurence J. Newell	Group Art Unit	Unassigned	Examiner Name	Unassigned	Attorney Docket Number	20852-05639
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METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																																																																																																										
1. The Commissioner is hereby authorized to: <input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account. <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. † <input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27 Deposit Account Number: 19-2555 Deposit Account Name: FENWICK & WEST LLP A Duplicate Copy of this authorization is attached 2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Other FEE CALCULATION (fees effective 10/01/2000) <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th>Large Entity Fee Code/Fee</th> <th>Small Entity Fee Code/Fee</th> <th>Fee Description</th> <th>Fee Due</th> </tr> <tr><td>101/\$710</td><td>201/\$355</td><td>Utility Filing</td><td><u>355</u></td></tr> <tr><td>106/\$320</td><td>206/\$160</td><td>Design Filing</td><td></td></tr> <tr><td>108/\$710</td><td>208/\$355</td><td>Reissue</td><td></td></tr> <tr><td>114/\$150</td><td>214/\$75</td><td>Provisional Filing</td><td></td></tr> <tr><td colspan="3">SUBTOTAL (1)</td><td>(\$)<u>355</u></td></tr> </table> 2. 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SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Michael W. Farn	Reg. Number	41,015
Signature		Date	May 11, 2001